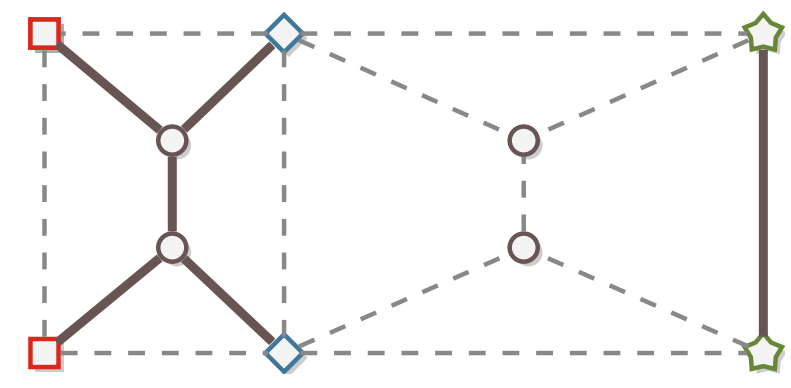


# A Local-Search Algorithm for Steiner Forest

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## The Steiner Forest Problem



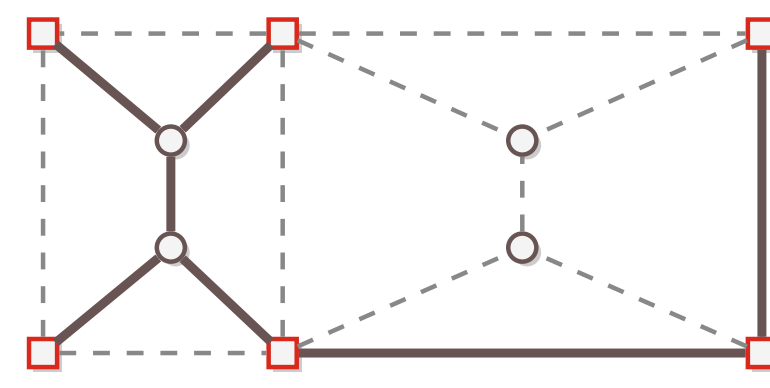
### Input

Graph  $G = (V, E)$   
Terminal pairs  $(s_1, \bar{s}_1), \dots, (s_k, \bar{s}_k) \in V \times V$   
Edge costs  $c : E \rightarrow \mathbb{R}^+$

### Output

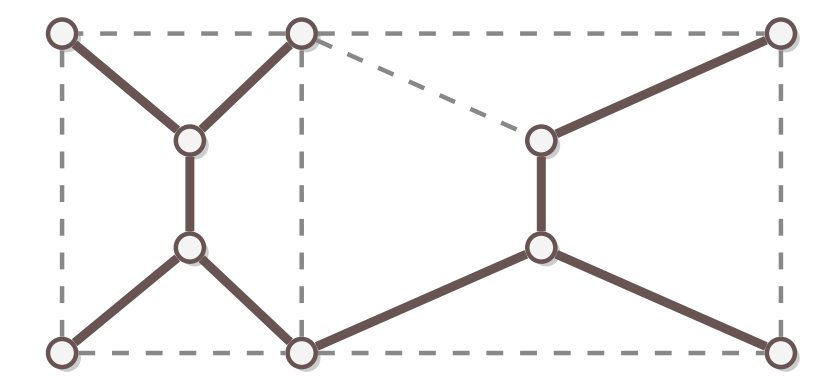
Minimum cost forest  $F \subseteq E$  containing an  $s_i - \bar{s}_i$ -path for all  $i = 1, \dots, k$

## The Steiner Tree Problem



No pairs, connect set of terminals by a tree.

## The MST Problem



No terminals, connect all nodes in  $V$ .

Known algorithms for Steiner Forest:

- 2-approximable, [AKR95, GW95], LP-based
- Non-LP-based 96-approximation [GK2014], greedy (gluttonous) algorithm

Want: Local Search Algorithm for Steiner Forest (inspired by simple algorithm for MST!)  
Some simplifying assumptions:

- can assume that  $c$  is metric
- ignore non-terminal nodes  $\rightsquigarrow$  factor 2

There is a **non-oblivious local search algorithm** for the Steiner Forest Problem with a constant locality gap.

Width of a (sub)tree  $T$ :

$$w(T) = \max_{\text{terminal pair } s_i, \bar{s}_i \text{ in } T} c(\{s_i, \bar{s}_i\})$$

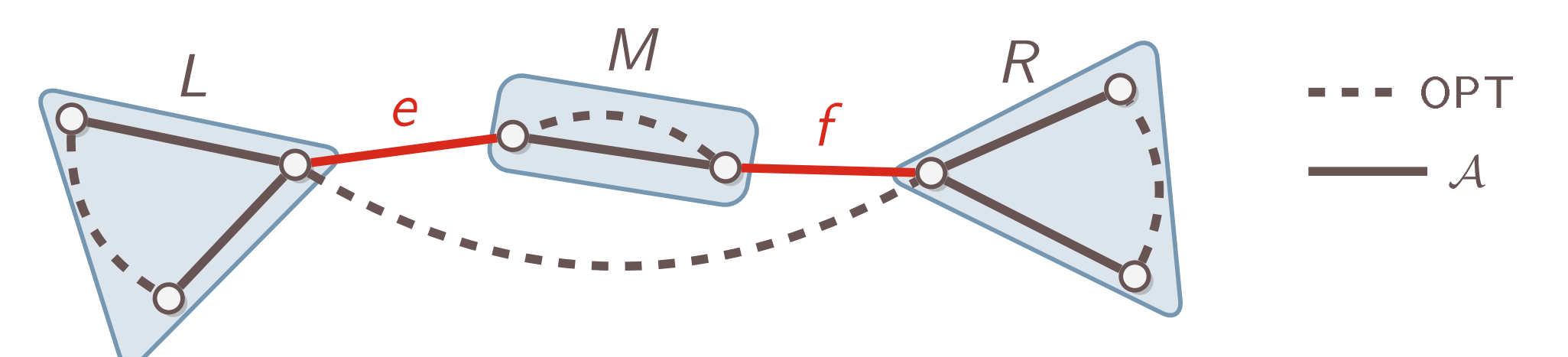
Our **potential** adds the width:

$$\phi(T) := w(T) + \sum_{e \in E(T)} c(e)$$

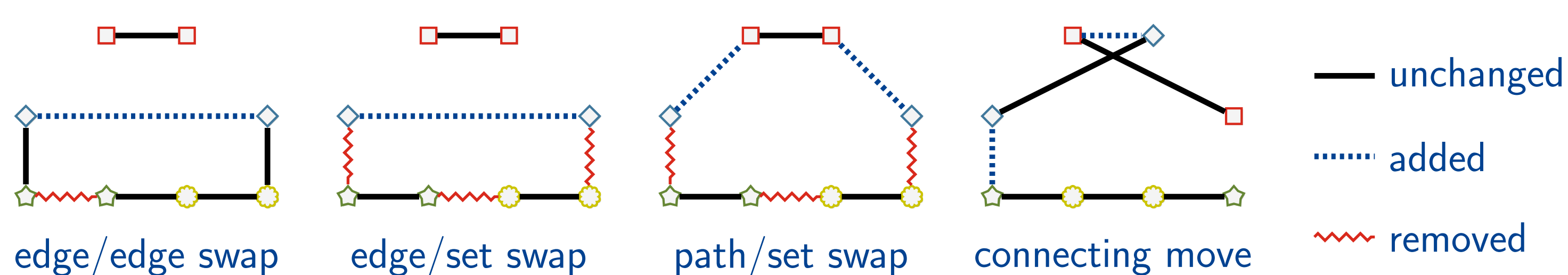
Forest: Add  $\phi(T)$  for all subtrees  $T$ .

- Optimize  $\phi \rightsquigarrow$  non-oblivious local search
- Observe: At most **two times** the connection cost
- After optimization, drop 'useless' edges (called **inessential**)

Two edges  $e$  and  $f$  are **compatible** wrt OPT if there are no OPT-edges between  $L$  and  $M$  and no OPT-edges between  $M$  and  $R$ .



- compatibility is an equivalence relation
- equivalence classes lie on paths, behave 'like one edges'

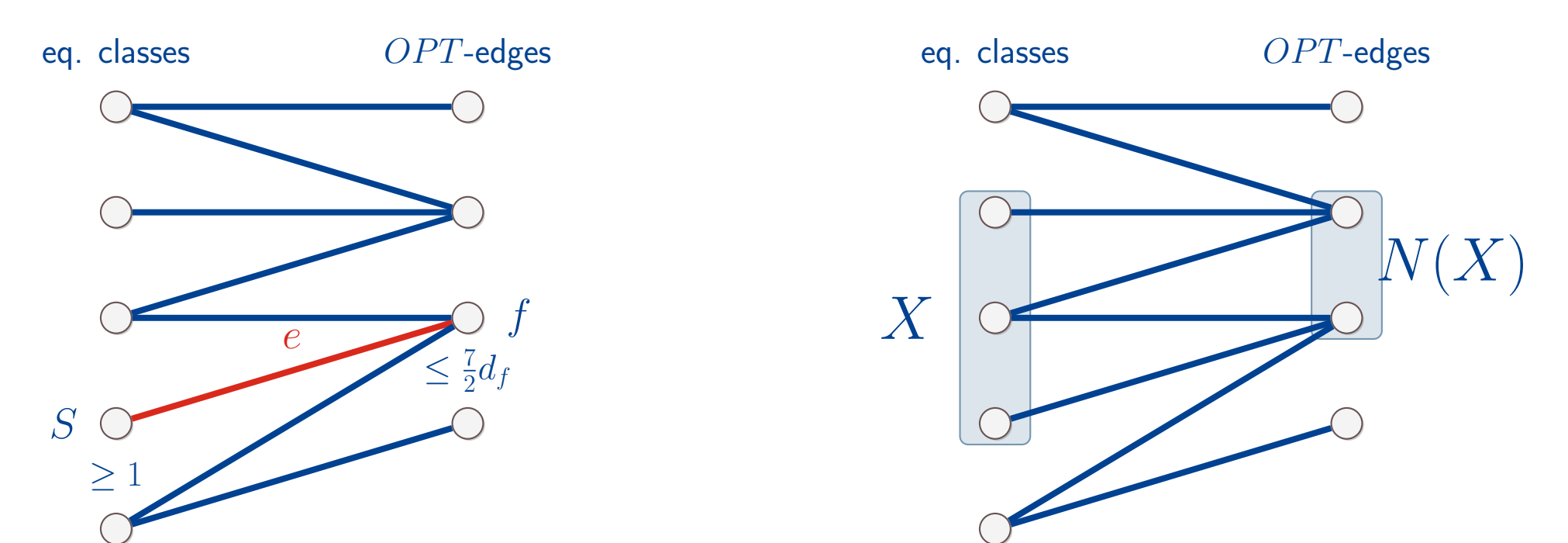


## Charging a locally opt tree $\mathcal{A}$ to OPT

- Find assignment of  $\mathcal{A}$ -edges to OPT-edges such that no OPT-edge is assigned more than  $\frac{7}{2}$  its cost.

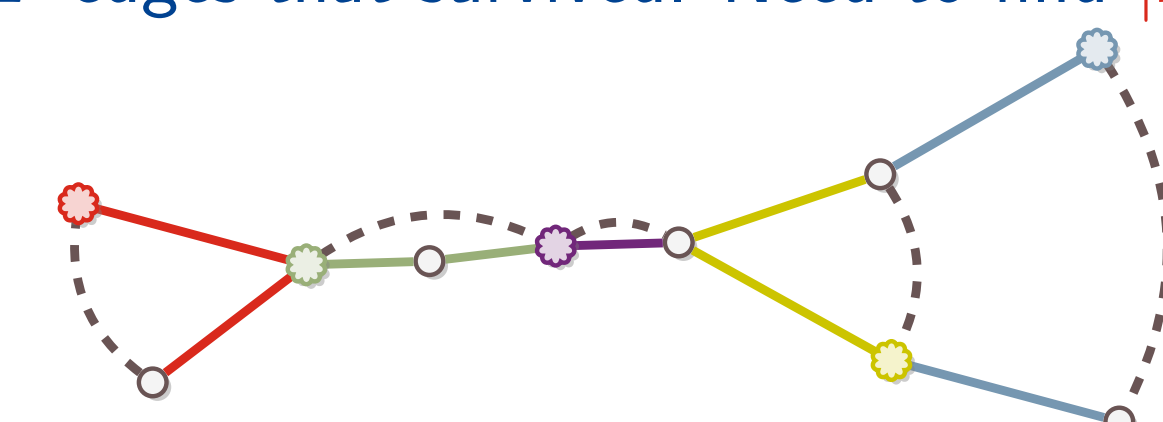
$$\sum_{e \in E[\mathcal{A}]} c(e) \leq \frac{7}{2} \sum_{e \in \text{OPT}} c(e)$$

- Assignment: Capacitated perfect matching in a bipartite graph.



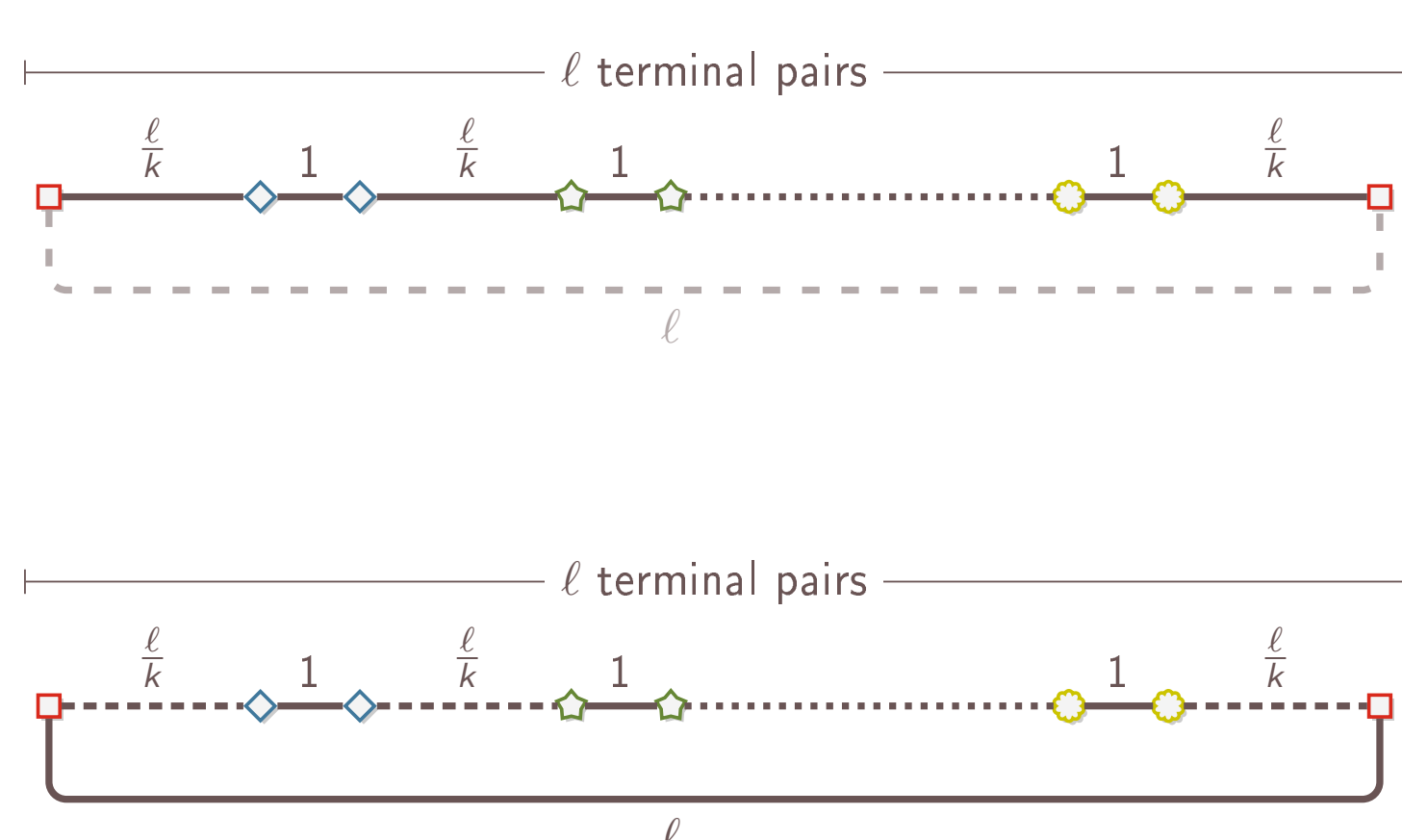
Left: node  $a_S$  for each equiv. class  $S$       Show:  $|X| \leq \frac{7}{2}|N(X)|$  for all  $X$   
Right: node  $b_f$  for each  $f \in \text{OPT}$       Then: **Hall's Theorem** yields assignment

- Fix some set  $X$ . **Contract** all equivalence classes outside of  $X$ !
- Note: All **OPT** edges that survive are in  $N(X)$ .
- Count all **OPT** edges that survived. Need to find  $|X|/c$  OPT edges.



- Concept of **representatives**
- **Leaves** always have an **OPT**-edge: Otherwise, **inessential** edge!
- Representatives of **degree 2** have an **OPT** edge
- There are not too many nodes of higher degree!

These arguments give guarantee 4, but we can do slightly better.

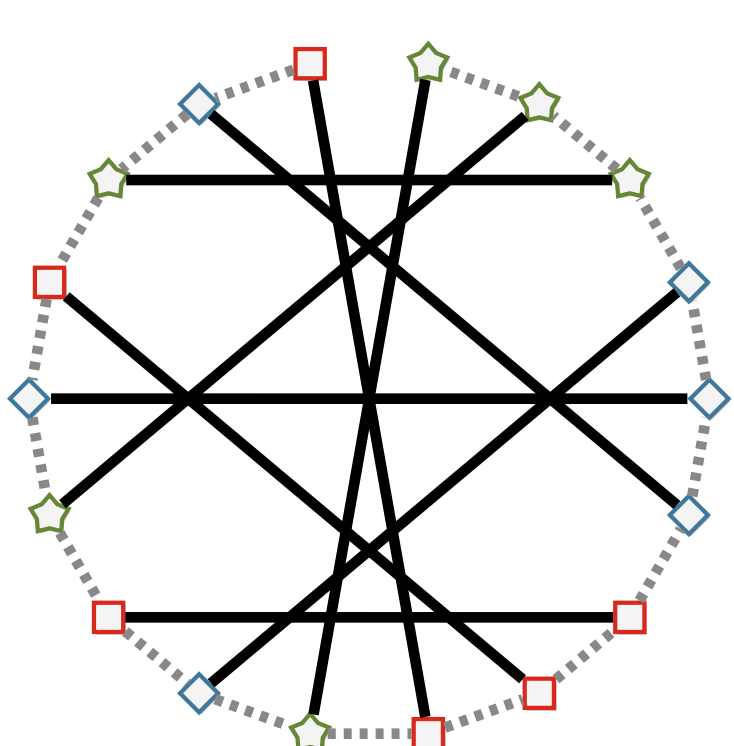


Assume that we **only use single edge swaps** or swaps that add/delete at most a constant number of edges.

Consider the example on the left:

- choose  $k \in \omega(1)$  and  $\ell \in \omega(k)$
- local OPT costs  $\ell^2/k + \ell - 1 > \ell^2/k$
- OPT costs  $\ell + \ell - 1 < 2\ell$
- factor  $> \ell/(2k) \rightsquigarrow$  is in  $\omega(1)$

Assume that we **only use path/set swaps**.



Solid edges cost 4, dashed edges cost 1. No helpful path/set swap.

- $G = (V, E)$ : a 3-regular graph with girth  $g = c \log n$ . (Such graphs exist, see [Biggs 1998].)
- OPT: A spanning tree  $T$  in  $G$ . Always feasible! All edges in  $E(T)$  cost 1  $\rightsquigarrow$  OPT costs  $n - 1$ .
- $E'$ : The non-tree edges  $E \setminus E(T)$ . These edges cost  $g/4$ .
- $M$ : Any maximum matching in  $E'$ . Endpoints of each edge form a terminal pair.
- Observe:  $M$  is feasible. Degree bound ensures that  $|M| \geq n/10$ . Thus,  $M$  costs  $\Omega(n \log n)$ .